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45. (Amended) The semiconductor laser as recited in claim 28 wherein the semiconductor laser is included in a high-performance laser and the semiconductor laser chip includes a plurality of measuring points along the active laser zone.

## **REMARKS**

Claims 35, 38, 41 and 45 were amended above. No new matter has been added. Thus, claims 16, 19 to 51 are currently pending in the above-identified application.

Applicants respectfully request reconsideration of the present application in view of this response.

Regarding paragraphs one (1) and two (2) of the Office Action, claims 35 and 45 were rejected under 35 U.S.C. § 112, second paragraph, for being of improper dependent form. Accordingly, claims 35 and 45 have been amended above. A version showing changes made to claims 35 and 45 is attached hereto, where underlining indicates added text and bracketing indicates removed text. Applicants respectfully submit that claims 35 and 45 as amended above are in condition for allowance.

Regarding paragraphs three (3) and four (4) of the Office Action, claims 38 and 41 were rejected under 35 U.S.C. § 112, second paragraph, for providing apparently insufficient antecedent bases. Accordingly, claims 38 and 41 have been amended above. A version showing changes made to claims 38 and 41 is attached hereto, where underlining indicates added text and bracketing indicates removed text. Applicants respectfully submit that claims 38 and 41 as amended above are in condition for allowance.

Accordingly, withdrawal of the rejections of claims 35, 38, 41 and 45 under 35 U.S.C. §112, second paragraph, is respectfully requested.

Applicants thank the Examiner for indicating that claims 16, 19-34, 36, 37, 39, 40, 42044, and 46-51 are allowed.

In summary, it is respectfully submitted that all of claims 16, 19 to 51 of the present application are allowable for the foregoing reasons.

## **CONCLUSION**

In view of all of the above, it is believed that the rejections of now amended claims 35, 38, 41 and 45 under 35 U.S.C. § 112, second paragraph, have been obviated. Accordingly, it

is respectfully submitted that all claims 16, 19 to 51 are allowable.

It is therefore respectfully requested that the rejections be reconsidered and withdrawn, and that the present application issue as early as possible.

Respectfully submitted,

Bez. No. 47084 KENYON & KENYON

Dated: 14, 203

Richard L. Mayer (Reg. No. 22,490)

**CUSTOMER NO. 26646** 

One Broadway New York, NY 10004 (212) 425-7200



# U.S. PAT. APP. SER. NO. 09/529,700

# **ATT. DOCKET NO. 2345/119**

# **VERSION SHOWING CHANGES MADE**

# IN THE CLAIMS:

Please amend claims 35, 38, 41 and 45 as follows:

- 35. (Amended) The semiconductor laser as recited in claim [35] <u>34</u> wherein prior to the welding each of the at least one temperature sensor is sealed into an electrically insulating glass.
- 38. (Amended) The semiconductor laser as recited in claim [39] <u>37</u> wherein the wires for measuring the electrical resistance through the semiconductor laser chip include a pumping current lead wire and an additional wire used as a sensor supply lead.
- 41. (Amended) The semiconductor laser as recited in claim [42] <u>40</u> wherein a contact surface of a material of one of the wires is deposited on the semiconductor laser chip before the two wires are joined.
- 45. (Amended) The semiconductor laser as recited in claim [45] 28 wherein the semiconductor laser is included in a high-performance laser and the semiconductor laser chip includes plurality of measuring points along the active laser zone.

# [S P E C I F I C A T I O N] SEMICONDUCTOR LASER [CHIP]

# Field of the Invention

The present invention relates to a semiconductor laser [chip according to the definition of the species in Claim 1.] in general, and, particular, to a semiconductor laser including a semiconductor laser chip and at least one temperature sensor secured directly to or integrated in the semiconductor laser chip.

# Related Technology

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Semiconductor lasers are generally known, as proceeds, for example, from the publication by [Dr]H. Richter, TelekomVision 7/93, [referred to and discussed at the end of this document.] Chips mit Zukunftspotential" [Chips with Future Potential]. interim results of the Telekom Research Project OEIC, TelekomVision 7/93, pp. 41 through 47, which is hereby incorporated by reference herein.

The application of such a laser is described in detail in the publication by K. H. Park, "Fabrication and Transmission Experiments of Distributed Feedback Lasers Modules for 2.5 Gb/s Optical Transmission Systems" published in Optical and Quantum Electronics 27 (1995), 547-552. To further enhance capacity, optical carrier frequency technologies, also referred to as wavelength division multiplex systems, are increasingly being used. The output wavelength of the semiconductor lasers used in these systems must be able to be adjusted and corrected within a very narrow range. Manipulated variables used for this purpose include the externally adjusted temperature of the laser carrier, and the laser's pumping power.

At a constant pumping power, an incorrect determination of the temperature of the laser chip leads to deviations in the output wavelengths, particularly when it is necessary to change the pumping power for operational reasons. The reasons for a change in pumping power can be unplanned, such as the effects of ageing on the laser,

or also planned, such as changing the laser's output power in response to a change in path attenuation, or subsequent to a reconfiguration in switched networks (routing, equivalent line circuit).

While in telecommunications lasers the emphasis is on a monomode characteristic and a small line width, as well as a rapid modulability, for purposes such as material processing, it is important that the semiconductor laser have a high power output. In comparison to telecommunications lasers, high-performance lasers are often very long (up to 2 mm). Unavoidable irregularities due to manufacturing, along the active laser zone, lead to local temperature peaks, particularly in operations entailing the highest power outputs. Such irregular temperature distribution results in a diminished output power and, in the extreme case, to irreversible degradation of the laser.

In known methods heretofore, a laser's temperature is only measured at one location, namely at its laser carrier being used as a heat sink. When measuring the temperature, errors can occur due to the heat transfer resistance between the laser chip and the heat sink, and also due to the finite thermal conductivity of the laser chip material; in addition to this such errors are caused by other heat sources produced by the bulk resistances in the pumping current's circuit path. Besides the steady-state temperature measuring errors, large time constants also result, which adversely affect temperature control. In known methods heretofore, irregularities in the temperature characteristic were not recorded at all in the case of high-performance lasers. German Patent No. DE 19 546 443 and European Patent No. EP 0 779 526, which are hereby incorporated by reference herein, describe an optical and/or electro-optical connection, and a method for manufacturing such a connection for two optical and/or electro-optical components. Figure 7 of [this publication] European Patent No. EP 0 779 526, in particular, shows how a pump-current lead wire is secured in a semiconductor laser, and provides details of the same in the corresponding description. It also describes how a hole can be bored into a laser chip using laser welding light.

Other laser chips or semiconductor laser modules are fundamentally described in

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German Patent No. DE 42 32 326 and in German Patent No. DE 42 32 327.

As <u>{already explained}noted above</u>, it is customary for the temperature of a laser to be measured at only one location, namely at its laser carrier being used as a heat sink.

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# **T**Summary of the Invention

<u>An</u> object of the present invention is to <u>[devise] provide</u> an arrangement of a temperature sensor or of a plurality of temperature sensors, which will enable a more precise and/or locally resolved measurement of the operating temperature, it also being possible to implement a precise temperature adjustment with substantial accuracy and/or local selectivity.

The [achievement of this objective in accordance with the ]present invention [is characterized in the characterizing part of Claim 1.

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Further refinements of the present invention or features are characterized in Claims 2 through 15.

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Iprovides a semiconductor laser including a semiconductor laser chip and at least one temperature sensor disposed directly on or integrated in the semiconductor laser chip for measuring an operating temperature. A very high precision, not attainable in known methods heretofore, is achieved by securing one or a plurality of temperature sensors directly onto the laser chip, and in intimate connection with the same, in a welding operation using Nd-YAG laser light or light having similar properties. The fine temperature adjustment is advantageously carried out using Peltier elements, the components of the Peltier elements being applied directly to the laser chip using Nd-YAG laser light. In accordance with the present invention, the wavelength of the laser chip is measured and, when necessary, the wavelength of the laser chip is also adjusted, the telecommunications lasers having one measuring point per active laser zone, and the high-performance lasers having a plurality of measuring points per laser chip along the active laser zone.

Other advantages, features, and possible applications of the present invention are revealed [in the dependent claims, as well as in the following description, in conjunction with the drawings. The terms and reference numerals included in the appended list of reference numerals are used in the Specification, Patent Claims, in the Abstract, and in the Figures.

# The]below.

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# Brief Description of the Drawings

10 <u>The present</u> invention shall <del>[now]</del> be elucidated <del>[on the basis of exemplary embodiments. In] with reference to the drawings, <del>[the figures show] in which</del>:</del>

	Figure 1	a <u>schematic diagram of a</u> semiconductor laser chip in
15		accordance with the related art;
	Figure 2	a schematic diagram of an arrangement and mounting of a
		known sensor on the laser chip;
20	Figure 3	a schematic diagram of a sensor encapsulated in glass;
	Figure 4	a schematic diagram of a semiconductor laser chip having a
		hole bored by laser welding light;
25	Figure 5a	a schematic diagram of an arrangement having a bulk resistor as
		a sensor;
	Figure 5b	a schematic diagram of an arrangement having a symmetrical
20		sensor;
30	Figure 6	a schematic diagram of a representation of the bulk resistance

in parallel to the pumping current circuit;

	Figure 7	a schematic diagram of an arrangement for measuring the
		temperature of individual lasers using bulk-resistance sensors;
5	Figure 8	a schematic diagram of an arrangement for measuring
	_	temperature irregularity using bulk-resistance sensors;
10	Figure 9	a schematic diagram of a thermoelement mounted on a laser chip;
	Figure 10	a schematic diagram of a thermoelement having only one additional wire;
15	Figure 11	<u>a schematic diagram of an arrangement for regulating temperature</u> using a [thermo-]thermoelement and [
		<u>a</u> Peltier element;
20	Figure 12	a <u>schematic diagram of a cascaded arrangement of thermoelements on</u> a laser chip; and
	Figure 13	<u>a schematic diagram of</u> an arrangement for locally selective temperature regulation.
25	Detailed Desc	ription  s the design of a known laser chip, as described, for example, in fthe

Figure 1 shows the design of a known laser chip, as described, for example, in [the essay]H. Richter, "Chips mit Zukunftspotential" [Chips with Future Potential], finterim results of the Telekom Research Project OEIC, by Dr. Hartwig Richter in Telekom Vision 7/93, pp. 41 through 47.]discussed above. Up until now, a laser's temperature has fonly typically been measured at one location, namely at its laser carrier that is used as a heat sink. In this context, a temperature sensor 1, together with

its lead wires 2 and 3, is mounted on heat sink 6. Semiconductor laser chip 4, also referred to simply as laser chip, receives a pumping current at its active laser zone 5 via wires 7 and 8 that supply the pumping current. As already described at the outset, an arrangement of this kind has the following disadvantages: the difference between the temperature of semiconductor laser chip 4, which is also determinative for the output wavelength of the laser, and the externally adjusted temperature of heat sink 6, is not recorded. The temperature difference is caused by the heat transfer resistances between laser chip 4 and the laser carrier or heat sink 6, as well as by the finite thermal conductivity of the laser chip material. The bulk resistances in the pumping current circuit are also a source of heat. The result is not only steady-state measuring errors of temperature, but large time constants as well, which have an adverse effect on a temperature control.

Figure 2 illustrates how an already known temperature sensor 1 can be applied by welding using laser light to laser chip 4. The remaining design of the arrangement according to Figure 2 corresponds to that of Figure 1. Melting points 10 formed using this welding method secure temperature sensor 1 to laser chip 4, as shown in Figure 3. According to the specific requirements, it can be necessary and/or also advantageous to encapsulate temperature sensor 1, before applying it to laser chip 4, in a thermally conductive, easily weldable material 9, for example glass, as shown in Figure 3. The remaining design corresponds again to that already described previously, however heat sink 6 of semiconductor laser 4 is not shown, since the intention here is to merely show the arrangement of an encapsulated temperature sensor 1.

Figure 4 depicts such a temperature sensor 1 in a predrilled hole. Shown here, again, is laser chip 4 having wire 8 for supplying pumping current, as well as wires 2 and 3 for supplying measuring current to temperature sensor 1. Also shown, are wires 2 and 3 for supplying measuring current to temperature sensor 1.

To produce the hole for temperature sensor 1 in laser chip 4, laser light radiation can likewise be used, as described in German Patent No. DE 19 546 443.

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At this point, it should be remarked that the described method for arranging one or a plurality of temperature sensors, as well as the fine temperature adjustment characterized by high precision and/or local selectivity with respect to temperature is easily applicable to laser chips of thermally isotropic material.

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The arrangements in accordance with Figures 5a, 5b and 6 enable the temperature dependency of bulk resistor 11 itself to be measured. The resistor is apparent between the two melting points 10, where the two wires 2 and 3 for supplying measuring current are mounted by welding or another method, for example bonding. Also shown are lead wires 2 and 3 for the measuring current and lead wire 8 for the pumping current.

Figure 5b shows an arrangement having symmetrical sensors, individual laser 5 being configured symmetrically between melting points 10 in laser chip 4. Here, bulk resistor 11 is again disposed between the two measuring points 10.

Figure 6 illustrates that bulk resistor 11 is arranged in parallel to the pumping current circuit, here again, heat sink 6 being connected to laser chip 4 by melting points 18 formed during welding. Heat sink 6 is connected by a wire 7 for supplying the pumping current, and individual laser 5 is likewise connected by a wire 8 for supplying the pumping current. Wire 3 is connected to melting point 10, to be able to supply the necessary measuring current.

The need is eliminated here for second melting point 10 for wire 2; instead, wire 7 or wire 8 can be jointly used.

Measuring the temperature of individual lasers 5 having bulk-resistance sensors is illustrated in Figure 7. The individual bulk resistors 11 are disposed between melting points 10 of individual lasers 5, which are located on or in a laser chip 4. This demonstrates that when a plurality of individual lasers 5 are configured on one laser chip 4, the temperature of each individual laser 5 can be measured. As a result, it is

possible to adjust the output wavelengths of these individual lasers 5 during operation, by way of their pumping currents, without explicitly measuring their wavelength.

A similar technology (Figure 8) makes it possible, when working with highperformance lasers, to measure the temperature distribution along an active-laser zone of an individual laser 5 on or in laser chip 4.

Particular advantages are derived when temperature sensor 1 is a thermoelement. It is then not only possible to secure a previously fabricated thermoelement using laser-light welding, directly onto the measuring object, in close thermal contact with the same, as already described, but it is also possible, in one work step, to join the two individual wires required for the thermoelement, using laser-light welding, to form one thermoelement, and to secure it to the measuring object.

As is evident in Figure 9 from the arrangement of a thermoelement on a laser chip 4, each thermoelement, shown here as measuring point 12, now has one measuring lead wire 2 and one measuring lead wire 3, each of different material.

Before joining wires 2 and 3 on laser chip 4, it is particularly advantageous to vapordeposit a contact surface 21 on semiconductor laser 4, or to apply it in some other suitable way, this surface 21 either being made of the material of wire 2 or of the material of wire 3 (Figure 9).

At the second place where wires 2 and 3 are united, a second thermoelement 13 is formed. At point 14, a voltage that is dependent upon the temperature difference between points 12 and 13 can then be tapped off; in this context, the measuring instrument at point 14 [must be] is surrounded by wires of the same material. Of course, wires 2 and 3 can also be partially or completely designed as printed conductors that are permanently connected to a chip (e.g., to laser chip 4).

Temperature-reference point 13 can be on chip 4 itself, on heat sink 6 of semiconductor laser 4, or even on the housing surrounding the entire arrangement, in

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accordance with Figure 1.

Figure 10 shows a design variant that makes do with only one additional wire 3, in which the otherwise necessary wire 2 is the pumping-current lead wire 8, made, for example, of gold or copper. The other wire 3 for thermoelement 12 is made, for example, of Konstantan.

A further advantage is derived in a reversed operation by using a thermoelement in accordance with Figure 11 as a Peltier element having a current source 17. Similarly to the measuring arrangement according to Figure 9, here as well, wires 19 and 20 between points 15 and 16 are made of different materials. Depending on the direction of the current from source 17, the heat can be transferred from point 15 to point 16 (main application case: semiconductor laser 4 is cooled) or from point 16 to point 15 (semiconductor laser 4 is additionally heated).

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The Peltier element formed from wires 19 and 20 between points 15 and 16 is fabricated using the same technology as thermoelement pair 2, 3, 12, 13, described in Figure 9.

20 Using a thermoelement pair functioning as a temperature sensor, in accordance with 25

Figure 9, and a Peltier element 15, 16, 19, 20 operated as a temperature setter, one can precisely adjust the temperature of point 15. To reduce control errors, point 15 should be close to point 12. The controller (not shown) then controls current source 17 as a function of measuring voltage 14 of thermoelement pair 12 and 13, measuring point 13 being an external reference point. In this control, it is beneficial for reference point 13 and thermal reference point 16 (in fthe main application case an embodiment, a heat sink) of the Peltier element to have the same temperature. This reference location 13 or 16 can be a point outside of the laser housing (for measurement as compared to ambient temperature). However, it is also possible for the reference location to be placed on heat sink 6 of semiconductor laser 4 (for measurement of the differential temperature with respect to heat sink 6 of semiconductor laser 4, if indicated, with

heat dissipation likewise to heat sink 6 of semiconductor laser 4).

If semiconductor laser 4 is a telecommunications laser, then its output wavelength can be very finely tuned.

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For very long lasers 4 (for example, high-performance lasers), it is also possible – as shown in Figure 12 – [,] to configure both thermoelement element pairs 12 and 13, as well as Peltier elements 15 and 16, in a cascade arrangement, to achieve a more homogeneous heat dissipation.

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Figure 13 illustrates how the temperature irregularities which limit power output, in particular along the active laser zone 5, can be reduced when working with high-performance lasers, in particular. In a separate controller, each measuring voltage 14 of corresponding measuring point 12 produces its own actuating current 17 for cooling the corresponding heat-dissipation point 15. The dimensional design of the controller is especially simple, when all reference points 13 and all thermal reference points 16 have the same temperature.

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When this temperature control that is selective with regard to location is used, it is possible, for example, to cool especially hot points more intensely than less hot points and, in this manner, achieve a uniform temperature characteristic along the active laser zone 5 of laser chip 4.

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Using the technology described here, one can easily conceive of other refinements or arrangements derived from the particular laser chip and its application area, depending on the requirement.

[Patent Claims ] WHAT IS CLAIMED IS:

#### Abstract

In a semiconductor laser, at least one temperature sensor is disposed directly on or integrated in a semiconductor laser chip for measuring an operating temperature.

Precisely and/or locally solved measurement of the operating temperature of the laser are possible. One or more temperature sensors may be placed and fastened directly onto the laser chip or in a hole of the laser chip by welding, especially with Nd-YAG-laser light or light with similar characteristics. Fine equalization of temperature may be carried out, for example, by Peltier elements, components of the Peltier elements being mounted directly onto the laser chip. A cascaded arrangement of thermoelements and Peltier elements on a laser chip is also provided for.

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(The invention relates to a semiconductor laser chip, especially temperature probe(s) and temperature regulator(s) (1 or 15) related to chip technology. The invention also relates to the direct arrangement of one or more temperature probes (1) on or in the laser chip (4). Said temperature probe(s) enable(s) a precisely and/or locally solved measurement of the operating temperature of the laser. In addition, a fine equalization of temperature occurs with higher precision adjustment of temperature and/or position selectivity of temperature. To this end, one or more temperature probes (1) is/are placed and fastened directly onto the laser chip (4) or in a hole of the laser chip by means of a welding; especially with Nd-YAG-laser light or light with similar characteristics. The fine equalization of temperature is carried out, for example, by peltier elements, whereby the components of the peltier elements are mounted directly onto the laser chip (4) by means of Nd-YAG-laser light welding. In addition, the measurement of the temperature of the individual lasers (5) is carried out by the measurement of the temperature dependence of the bulk resistors (11). The invention also relates to a cascaded arrangement of thermoelements and peltier elements on a laser chip.]